

4069/GETS 5294.2
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Hess, Jr. et al.

Serial No. Not yet assigned

Filed November 20, 2003

Confirmation No. Not yet assigned

For CONTROL AND METHOD FOR OPTIMIZING THE OPERATION OF TWO OR MORE LOCOMOTIVES OF A CONSIST

November 26, 2003

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the * duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the Pre-OG notice of July 11, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied.

Respectfully submitted,



Frank R. Agovino, Reg. No. 27,416
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

FRA/cjl

Express Mail Label No. EL 998652286 US

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

				<i>Complete if Known</i>
Application Number				Not yet assigned
Filing Date				November 20, 2003
Confirmation Number				Not yet assigned
First Named Inventor				Gerald James Hess, Jr.
Group Art Unit				Not yet assigned
Examiner Name				Not yet assigned
Sheet	1	of	1	Attorney Docket No.
				4069/GETS 5294.2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	4,344,364		Nickles et al.	08/17/1982
	2	4,582,280		Nichols et al.	04/15/1986
	3	5,581,472		Miller et al.	12/03/1996
	4	5,787,371		Balukin et al.	07/28/1998

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.